



## High Finesse Mirror Design, Fabrication and Characterization

**Oliver Heckl** 







#### **Mirror and Optical Coating Technical Overview**



Two main classes of thin-film reflective optical coatings:

simple metallic mirrors	single or protected Ag, Al, or Au layer	broadband, but high losses
interference coatings	alternating transparent dielectric films	very versatile, low losses





#### **Reflectivity for prevalent metal mirrors**



- Very broadband reflection for ranging from VUV/VIS to mid-IR wavelengths
- Percent-level losses at best  $\rightarrow$  bad candidates for high-Finesse mirrors





#### How to do better – optical interference coatings



- Alternating layers of high / low index (quarter-wave thickness) thin films
  - at Bragg wavelength internal reflections add in phase, max. reflectivity





#### **Reflection and Refraction**



Figure 6.2-1 Reflection and refraction at the boundary between two dielectric media.





## **Fresnel Equations**

$$\mathbf{r}_{x} = \frac{n_{1} \cos \theta_{1} - n_{2} \cos \theta_{2}}{n_{1} \cos \theta_{1} + n_{2} \cos \theta_{2}}, \quad \mathbf{t}_{x} = 1 + \mathbf{r}_{x}, \tag{6.2-8}$$
$$\mathbf{TE Polarization}$$
$$\mathbf{r}_{y} = \frac{n_{1} \sec \theta_{1} - n_{2} \sec \theta_{2}}{n_{1} \sec \theta_{1} + n_{2} \sec \theta_{2}}, \quad \mathbf{t}_{y} = (1 + \mathbf{r}_{y}) \frac{\cos \theta_{1}}{\cos \theta_{2}}. \tag{6.2-9}$$
$$\mathsf{TM Polarization}$$
$$\mathsf{Fresnel Equations}$$

With Snell's law: 
$$\cos \theta_2 = \sqrt{1 - \sin^2 \theta_2} = \sqrt{1 - (n_1/n_2)^2 \sin^2 \theta_1}$$





#### TE Polarization / s-Polarization: External Reflection; n<sub>1</sub> < n<sub>2</sub>



**Figure 6.2-2** Magnitude and phase of the reflection coefficient as a function of the angle of incidence for *external reflection* of the *TE-polarized* wave  $(n_2/n_1 = 1.5)$ .



Note: reflection on optically denser medium introduces a  $\pi$  phase shift





#### TE Polarization / s-Polarization: Internal Reflection; $n_1 > n_2$



**Figure 6.2-3** Magnitude and phase of the reflection coefficient as a function of the angle of incidence for *internal reflection* of the *TE-polarized* wave  $(n_1/n_2 = 1.5)$ .



Note: reflection on optically less dense medium introduces no phase shift up to the critical angle





#### TM Polarization / p-Polarization: External Reflection; $n_1 < n_2$



**Figure 6.2-4** Magnitude and phase of the reflection coefficient as a function of the angle of incidence for *external reflection* of the *TM*-polarized wave  $(n_2/n_1 = 1.5)$ .



Note: reflection on optically denser medium introduces a  $\pi$  phase shift





#### TM Polarization / p-Polarization: Internal Reflection; $n_1 > n_2$



Figure 6.2-5 Magnitude and phase of the reflection coefficient as a function of the angle of incidence for internal reflection of the TM-polarized wave  $(n_1/n_2 = 1.5)$ .



Note: reflection on optically less dense medium introduces no phase shift up to Brewster-angle





## **Basic idea of a distributed bragg reflector (DBR)**



- Individual reflections from each material boundary are added in phase Remember: reflection on optically denser medium introduces a  $\pi$  phase shift
- $\lambda_{\rm B}$ : twice the optical thickness of a layer pair





## **Calculation of DBR-stacks**







 $r_{l,l+t}$ : reflectivity (field) at interface l,l+1

 $t_{l,l+1}$ : transmissoin (field) at interface l,l+1

 $\delta_l$ : accumulated phase by passing layer l

Transmission from layer l to l+1

$$v_{l+1} = e^{i\delta_l} t_{l,l+1} v_l + r_{l,l+1} w_{l+1}$$
$$w_l = e^{2i\delta_l} r_{l,l+1} v_l + e^{i\delta_l} t_{l,l+1} w_{l+1}$$

**Clever matrix formulation** 

$$\begin{pmatrix} v_l \\ w_l \end{pmatrix} = \frac{1}{t_{l,l+1}} \begin{pmatrix} e^{-i\delta_l} & 0 \\ 0 & e^{i\delta_l} \end{pmatrix} \begin{pmatrix} 1 & r_{l,l+1} \\ r_{l,l+1} & 1 \end{pmatrix} \begin{pmatrix} v_{l+1} \\ w_{l+1} \end{pmatrix} = M_l \begin{pmatrix} v_{l+1} \\ w_{l+1} \end{pmatrix}$$
$$\tilde{M} = \prod_{i=0}^{2N} M_l$$

A. Luce. A. Mahdavi, F. Marquardt, and H. Wankerl, JOSA A, Vor. 39, No. 6, June 2022





## **Calculation of DBR-stacks**



#### $r_{l,l+t}$ : reflectivity (field) at interface l,l+1

 $t_{l,l+1}$ : transmissoin (field) at interface l,l+1

 $\delta_l$ : accumulated phase by passing layer l

#### Transmission from layer l to l+1

# $v_{l+1} = e^{i\delta_l} t_{l,l+1} v_l + r_{l,l+1} w_{l+1} \qquad \begin{pmatrix} v_l \\ w_l \end{pmatrix} = \frac{1}{t_{l,l+1}} \begin{pmatrix} e^{-i\delta_l} & 0 \\ 0 & e^{i\delta_l} \end{pmatrix} \begin{pmatrix} 1 & r_{l,l+1} \\ r_{l,l+1} & 1 \end{pmatrix} \begin{pmatrix} v_{l+1} \\ w_{l+1} \end{pmatrix} = M_l \begin{pmatrix} v_{l+1} \\ w_{l+1} \end{pmatrix}$ $w_l = e^{2i\delta_l} r_{l,l+1} v_l + e^{i\delta_l} t_{l,l+1} w_{l+1} \qquad \widetilde{M} = \prod_{i=0}^{2N} M_l \qquad \begin{pmatrix} 1 \\ r \end{pmatrix} = \widetilde{M} \begin{pmatrix} t \\ 0 \end{pmatrix} \qquad \text{Transmitted light}$ Incident light on DBR Incident light on DBR Incident light from DBR Incident light from DBR

**Clever matrix formulation** 





## **Basic idea of a distributed bragg reflector (DBR)**







#### **Buildup of mirror and stopband: substrate only**









physical distance













physical distance

















physical distance





















































































#### Analytical insights: width of stopband and angle-tuning

Fresnes reflection (0° AOI):

Relative width of stopband:

$$r = \frac{n_H + n_L}{n_H + n_L}$$

 $n_{II} - n_{II}$ 

$$\frac{\Delta\omega}{\Delta\omega_{\rm B}} = \frac{4}{\pi} \sin^{-1} r$$

Technology	$n_H$	$n_L$	Relative width
Epitaxially grown semiconductors	~3.6	~3.0	~10%
Amorphous coatings (IBS)	2.1 (Ta <sub>2</sub> O <sub>5</sub> ) 2.35 (TiO <sub>2</sub> )	1.37 (MgF <sub>2</sub> ) 1.45 (SiO <sub>2</sub> )	~30%

Higher contrast (large  $\Delta n$ ) allows for wider stopband and fewer layers for a given reflectivity

U. Keller, Ultrafast Lasers, Springer, ISBN: 978-3-030-82531-7









## **Excursion: chirped DBRs and dispersion measurements**





Chirped Distributed Bragg reflectors

- Spectrally dependent phase shifts due to different penetration depths
- GDD optimized designs possible

Group delay dispersion can be measured in an FTS if the sample is placed in one arm and not before the spectrometer





# High Finesse Mirror Design, Fabrication and Characterization





1857

Arc

Evaporation



## **Optical Coating Technologies**

Physical Vapor Deposition (PVD) of current amorphous coatings





1907

E-beam

Evaporation

1939

Magnetron

Sputtering

1979

Ion-beam

Sputtering



New state-of-the-art low-loss mirrors: State-of-the-art multilayer mirrors: GaAs/AlGaAs deposited via ion-beam sputtered Ta<sub>2</sub>O<sub>5</sub>/SiO<sub>2</sub> molecular beam epitaxy





#### **E-beam evaporation**



Evaporation sources heated by e-beam or resistive heating

- Rotating substrates to ensure coating homogeneity
- Substrate temperature between 150 and 400°C

#### **Challenges:**

- Low packing density allows atmospheric water to enter coating → absorption and shift of stopband
- Formation of micro crystallites leading to high scattering losses (up to percent level)

#### Advantages

- High laser damage thresholds and low absorption
- Often used for laser mirrors
- Best technology for UV-mirrors





## **Sputtering**

Extraction of ionized particles by ion bombardment  $\rightarrow$  acceleration with electric fields and deposition on substrate



Magnetron Sputtering

- Gas discharge in front of target
- Potentially combined with reactive gas to create compunds



Ion Beam Sputtering (IBS)

 Separate ion source generates target ions and reactive gas (oxygen)

#### **Advantages**

- **Gold standard** for mirror production from VIS-NIR
- High laser damage thresholds and low absorption
- Fully amorphous microstructure (no micro crystallites)
- High package density (no water-vapor issues)
- High mechanical stability

#### **Challenges:**

- Mid-IR wavelength coverage (relatively high absorption)
- Amorphous structure leads to scattering losses

Layertec, Optics and Coatings





#### **Molecular Beam Epitaxy (MBE)**





- Molecular beam epitaxy is employed to grow single-crystal GaAs/AlGaAs heterostructures
- The single-crystalline multilayer is removed and directly bonded to a super-polished (curved) substrate
- Alternating layers GaAs/Al<sub>0.92</sub>Ga<sub>0.08</sub>As form distributed Bragg reflector





## **Molecular Beam Epitaxy (MBE) – Crystalline Mirrors**





#### **Challenges:**

- Involved (and expensive) production process
- Low ∆n → reduced relative stopband compared to near-IR mirror technology (~10%)

#### Advantages

- High thermal conductivity
- Minimal absorption in mid-IR spectral range (sensitive mid-IR spectroscopy, high cavity transmission)
- Negligible scatter due to crystalline surface quality
- Excellent Brownian noise performance (reference cavities, gravitational wave detection, ring-laser gyroscopes, ...)
- Potential for **ppm-level optical losses** in the mid-IR





# High Finesse Mirror Design, Fabrication and Characterization









#### **Low Loss Crystalline Coatings**



- Monocrystalline mirror discs transferred to curved substrates
- Alternating layers GaAs/Al<sub>0.92</sub>Ga<sub>0.08</sub>As form distributed Bragg reflector
- Potential for **ppm-level optical losses** in the mid-IR





## **Crystalline Coatings**











#### Predicting Transmission via a Model Based on FTIR and SEM Data



	Loss (ppm)	$\lambda_{min}$ (nm)
T (design)	142	4500





#### **Fourier-Transform Spectroscopy**



See summer school lecture of Lucile Rutkowski on "Cavity-enhanced optical frequency comb spectroscopy"





#### **Fourier-Transformation Spectroscopy**

# Resolution beam splitter sample fveitedigtyt consisce detector Resolution: $\Delta v = \frac{1}{2L} > f_{rep}$ Typical value: $\approx 6 \text{ GHz} - 1.8 \text{ MHz}$

Comb:  $\Delta \nu \approx 10 \text{ kHz}; \mathbf{L} = \mathbf{1} \text{ m}$ 

Corresponds to L= 15 km !!!



Poster: L. Perner, et al.

"High-Accuracy Measurement of Mid-IR Refractive Indices of GaAs/AlGaAs in Thin-Film Multilayers"

L. Perner, M. Prinz, O. H. Heckl, Mid-infrared refractive index of crystalline thin-film GaAs/AlGaAs multilayers , writeup in progress





#### **Predicting Transmission via a Model Based on FTIR and SEM Data**



	Loss (ppm)	$\lambda_{min}$ (nm)
T (design)	142	4500
T (FTIR corr.)	$144\pm2$	$4538 \pm 1$

- FTIR can be used to determine growth (thickness) errors and thus the center wavelength
- **However**, this system cannot independently resolve the minimum transmission value





#### **Loss Components in Optical Interference Coatings**

T + A + S = 1 - R

*l* ... Excess loss *L* ... Total loss

Transmission (T)

Design parameter, controlled by # of mirror periods and index contrast



Determined by freecarrier absorption

Absorption (A)

Excess losses: Scatter and absorption reduce cavity transmission  $\frac{P_{\text{trans}}}{P_{\text{in}}} \propto \frac{T^2}{L^2}$  Precise measurements at ppm level challenging, most often not provided by manufacturers.
→ A major inhibition for progress in the field!



Measure of surface and bond quality

typical microroughness of
< 0.2 nm leads to S <</li>
5 ppm in NIR

Assumption: < 1 ppm in mid-IR Reflectance (R)

- Final reflectivity defined by total losses
- aim is to achieve / < T
- determines cavity enhancement and linewidth (finesse)





#### **Excess Loss Measurements**

Transmission (T)



#### Probe Chopper trans. laser(s) Pump XYZ-stage det. Focussing Ļ lens Pump laser(s) Sample Det. refl. pump power Reflected probe Transmitted imaging probe imaging

Absorption (A)

Reflectance (R)



#### **Direct transmission**

Simple, but high-precision direct transmission measurement

# Photothermal common-path interferometry (PCI)

Allows for direct absorption measurements < 10 ppm (<1 ppm with W-level pump)

#### Cavity ring-down (CRD)

Broadband (~200 nm) QCL @ 4.55 μm with passive feedback



Transmission (T)



#### **Excess Loss Measurements**





Simple, but high-precision direct transmission measurement



Photothermal common-path interferometry (PCI)

Allows for direct absorption measurements < 10 ppm (<1 ppm with W-level pump)

#### Reflectance (R)



#### Cavity ring-down (CRD)

Broadband (~200 nm) QCL @ 4.55 μm with passive feedback





## **Direct Transmission Measurment (DIRT)**



- Differential measurement
  - To deal with QCL probe power fluctuation
- Lock-in detection
  - enhances detector sensitivity given the low power per wavelength
  - increase of detector dynamic range (allowing measurement of  $P_{in}$  and  $P_{trans}$  with same detector)
- Blocking of stray light is crucial for a trustworthy measurement





#### **DIRT Measurement Results**



• We observe excellent agreement between direct measurements and FTIR-corrected transmission values – accurate dispersion curves.





#### **Excess Loss Measurements**

Transmission (T)



#### **Direct transmission**

Simple, but high-precision direct transmission measurement



# Photothermal common-path interferometry (PCI)

Allows for direct absorption measurements < 10 ppm (<1 ppm with W-level pump)



**Cavity ring-down (CRD)** 

Broadband (~200 nm) QCL @ 4.55 μm with passive feedback





## Measuring Optical Absorption via Photothermal Commonpath Interferometry (PCI)



Sensitivity limit below 10 ppm with our current 4.5 µm QCL pump laser

Alexandrovski, Photothermal common-path interferometry: new developments. SPIE 7193 (2009)





## **Absorption Measurements via Photothermal Common Path Interferometry (PCI)**



- *α* ... Absorption
- *R* ... Responsivity
- AC ... Amplitude of probe signal modulation at pump chopping frequency
- *DC* ... Average probe signal
- *P* ... Average pump power at pump/probe crossing

A. Alexandrovski, M. Fejer et al., "Photothermal common-path interferometry (PCI): new developments," Proc. SPIE 7193, 71930D (2009)





## **PCI** setup







## **PCI** setup





#### **PCI calibration**

# CHRISTIAN DOPPLER LABORATORY F

 $\frac{AC}{DC \cdot P} = \mathbf{R} \cdot \alpha$ 

#### Standard calibration (using calibration piece)



# In-Situ calibration (using "proxy pump") • Check equal spotsize for both pumps (e.g. via equal R) • $\alpha_{proxy} = \frac{P_{absorbed}}{P_{in}}$

•  $\alpha \rightarrow \mathbf{R}$ 

•  $\alpha_{\text{proxy}} \rightarrow \mathbf{R}$ 

•  $R \rightarrow \alpha_{\text{sample}}$ 



7 July 2022











#### **PCI Measurement Results**



	Loss (ppm)	$\lambda_{min}$ (nm)
T (measured)	$143\pm3$	$4536\pm5$
A (measured)	< 20	4550-4700

- PCI reveals an upper limit of for optical absorption (4.55-4.70 μm)
- The broad spectral range of the QCL pump overstates absorption losses in the stopband center.





#### **Polarization-dependent Absorption**



- First-time observation of this effect allowed to optimize mirror orientation in cavity for lowest losses.
- Data in agreement with density functional theory model of uniaxially strained GaAs.





#### **Excess Loss Measurements**

Transmission (T)



#### **Direct transmission**

Simple, but high-precision direct transmission measurement



# Photothermal common-path interferometry (PCI)

Allows for direct absorption measurements < 10 ppm (<1 ppm with W-level pump)











#### **CRD with Broadband Excitation**





- R + T + A + S = 1Finesse  $\mathcal{F} \coloneqq \frac{f_{FSR}}{\delta f} = \frac{\pi c}{d} \tau$
- Tuneable source (e.g. DFB QCL)
  - Narrow tuning range
  - Expensive
- Broadband source (e.g. FP QCL)
  - High coupling loss (incoupled power ratio given by Finesse)
    - Feedback

QCL ... Quantum cascade laser DFB ... direct feedback FP ... Fabry Perot





#### **Characterization of Total Loss via Cavity Ringdown**



- 4.55 μm Fabry-Perot QCL without isolation and no servo system
- Delay stage to match external cavity and enhance feedback

60

Monochromator for wavelength resolved measurements





#### **Ultralow-Loss 4.5 µm Optical Interference Coatings**



G. Winkler, L. W. Perner, et al., "Mid-infrared interference coatings with excess optical loss below 10 ppm," Optica **8**, 686-696 (2021) https://doi.org/10.1364/OPTICA.405938

	Loss (ppm)	$\lambda_{min}$ (nm)
T (measured)	$143\pm3$	$4536\pm5$
T+A+S (CDL)	$153\pm1$	$4534\pm1$
T+A+S (NIST)	$149\pm 6$	$\textbf{4533} \pm \textbf{1}$

- Independent measurements at the CDL and NIST (team around A. Fleisher) show excellent results excess optical losses (absorption + scatter) below 10 ppm!
- Potential for enhancement cavities with a finesse >100,000 @ 4.5 μm





#### **Summary**

#### **Distributed Bragg Reflectors**

- Principle
- Calculation of stob-bands

#### **Production techniques and wavelength coverage**

- E-beam evaporation
- Sputtering / IBS
- Molecular beam epitaxy

#### **Characterization of high-finesse mirrors**

- Determination of stop-band width FTS
- Direct transmission
- Absorption measurements (PCI)
- Loss measurements via CRD

#### Thank you for your attention!!!

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